
EXHIBIT 5
SOX Exclusions for Companies Based on Size

There should be an exclusion from compliance with section 404 for publicly traded firms:	Agree	Disagree
With market capitalization in the lowest 1% of the total U.S. equity market (currently, less than \$125 million).	43%	42%
With market capitalization in the lowest 6% of the total U.S. equity market (currently, less than \$783 million).	28%	55%